# 1. 博士論文審査の流れ

# 論文・申請書類等の提出(大学院担当)



# 学位申請(論文・申請書類等の提出書類を持参)



専攻及び論文審査委員または指導教員が論文提出の確認を行います。

物理学専攻:物理教務にて確認を行います。論文提出後、物理教務(理1-208号室)へ来室ください。

# 論 文 審 査



審査委員会が指定した期日に論文審査会を行います。

# 審 査 終 了 後



主査の指示に従って主査と大学院担当に必要書類を提出します。 (P4参照)

# 最終審査



理学系研究科において論文の最終審査を行います。

# 審 查 終 了 後



# 学 位 記 授 与 式

#### 【論文のインターネット公表について】

法令(学位規則)の改正により、平成25年度の学位取得者から、博士論文の公表については、原則として、学位を取得した 大学の協力を得て、インターネット公表することに変更となりました。この場合、著作権は著者(論文執筆者)が持ち大学は著者 からの許諾を得て公表することになりますが、公表は学位取得者の義務ですので、大学はそれに協力することが定められたことに なります。やむを得ない事情により要約公表が認められた場合を除き、原則として学位授与から1年後に全文が公表となりま

- ・事由8「博士論文の全部または一部が、単行本もしくは雑誌掲載等の形で刊行される予定である。」 (P14 参照) にのみ該当する要約公表の場合は5年後に自動的に全文公表へ切り替わります。
- ・学位授与後、博士論文のインターネット公表に関する確認票の申請内容に変更がある場合は大学院担当宛て申し出てくだ さい。

# 2. 学位申請時の提出書類:期限について

# (1) 論文・申請書類等の種類と提出部数

◎論文提出者は、受付する際、<u>不備や記入もれ等がないよう</u>に注意願います。

1.学位論文受付整理票

2.論 文 1部(仮製本)及び電子データ※1

△〔参考論文(提出する必要がある場合のみ)1部〕

注) 論文本製本の提出部数と提出日は、P4参照。

3. 論文の内容の要旨3 部及び電子データ※24. 履 歴 書 (所定の様式)3 部 (正 2 部、写し1 部)

 5. 宣 誓
 (所定の様式)
 2部(正1部、写し1部)

 6. 論 文 目 録 (所定の様式)
 3部(正2部、写し1部)

写はモノクロ

ください。

△7. **同意承諾書** (所定の様式) **2部** (正1部、写し1部)

8. 博士論文のインターネット公表に関する確認票 (所定の様式) 2 部 (正1部、写し1部)

9. **許諾書 (所定の様式)** 2 部 (正1部、写し1部)

△10. 博士論文公表方法に関する特例申請書(所定の様式) 2部(正1部、写し1部)

△「やむを得ない事由」を具体的に説明する資料2部(博士論文公表方法に関する特例申請書に添付) ※△は、場合によって提出が必要になるもの。

紙のサイズは A4 判としてください。

※1 論文電子データは、まとめて1つのCD 又はUSB メモリ等に保存して提出してください。そのまま主査へ渡されます。1ファイルは40MB以下とし、それ以上になる場合は分割し通し番号を振ってください。

※2 論文内容の要旨は「東京大学学位論文データベース」で公表することになりますので、ファイル名を「『論文の内容の要旨』・学籍番号・申請者名」とし、ファイル転送サービスで提出してください。提出方法については後述のとおり(P. 4)

## (2) 様式

所定の様式とあるものはファイル転送便のURLよりダウンロードして使用してください。

#### (3) 学位論文提出期間

対象者:平成25年4月1日以前に博士後期課程に入・進学した学生で、平成28年3月24日修了予定の者。

# 12 月 15 日(火) 16 日(水) 17 日(木)【締切厳守】

※締 切 厳 守 受付時間 9:30~11:30/13:30~16:30

〔※宣誓書・論文目録・履歴書・博士論文のインターネット公表に関する確認票・許諾書博士論文公表方法に関する特例申請書(該当者)の提出日の日付は、平成27年12月15日としてください。〕

《注 意》提出期間は時間厳守ですので、<u>締切後は一切受付できません</u>。

なお、やむを得ない事由により期間内に提出できない者は、必ずあらかじめ大学院担当へ連絡してください。(事務の許可を受け、<u>期間以前</u>に提出していただくことになります。)

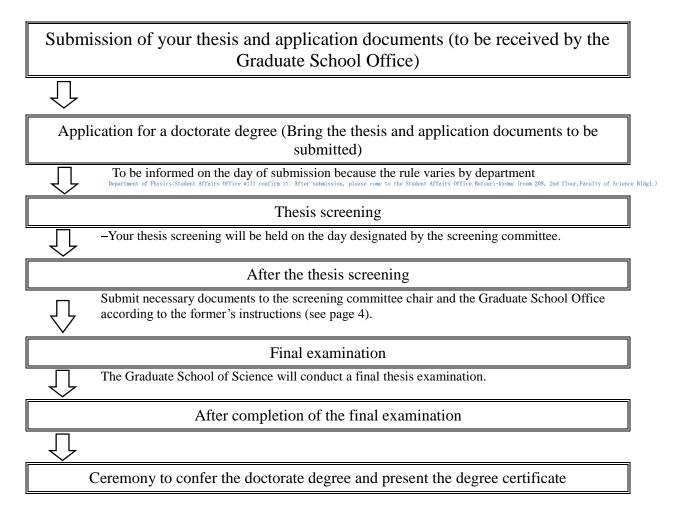
#### (4) 提出方法

① 理学部1号館2階205号室に本人が直接持参して提出してください。

受付終了後、論文・書類を封入した"大封筒"を<u>専攻事務室、主査</u>又は指導教員のところ(専攻により異なりますので受付当日に指示します)に申請者各自が直接持参してください。

物理学専攻:提出後、物理教務(理1-208)へ来室ください。

#### 1. Process of examination of doctorate theses



Under the Degrees Regulations of Japan as revised in March 2013, all Doctorate holders are obligated to publicize their doctorate thesis in its entirety through the Internet within one year after the conferral date. (At the University of Tokyo, such theses are publicized through the UTokyo Repository.)

Doctorate theses will be published via the Internet after one year of degree conferment, however, the Doctorate holder is allowed to publicize an abridged version of the thesis instead of a full-text publication under certain "unavoidable circumstances". Exemption from the duty of full-text publication due to a circumstance described in item 8,"The whole or part of the thesis is scheduled to be published in the form of a book or as part of a journal, etc." is valid for five years from the date of the conferral of a Doctorate. After this period has elapsed, the full text of this thesis will automatically be publicized unless there are any other unavoidable circumstances. If, within five years of degree conferment, a change arises to the Checklist for Web-based Publication of Doctorate Thesis that is submitted by those who publish an abridged version of their thesis, they should contact the Graduate School Office.

# 2. Documents to be submitted for Doctorate application

### (1) Thesis and application documents and the number of copies to be submitted

• Be careful and <u>make sure there are no deficiencies</u>, <u>omissions</u>, <u>or other imperfections</u> in the documents when submitting them.

- 1. Form of Submission of the Degree Thesis: 1 copy
- 2. Thesis: 1 copy (in temporary binding) and electronic data\*1

 $\triangle$  [Reference thesis (if the applicant needs to submit it): 1 copy] Note: See <u>page 4</u> for the number of copies of the formally bound thesis to be submitted and the date of submission.

- 3. Thesis Summary: 3 copies and electronic data\*2
- 4. Résumé (using designated form): 3 copies (2 originals and 1 duplicate)
- 5. Affirmation (using designated form): 2 copies (1 original and 1 duplicate)
- 6. Thesis Table of Contents (using designated form): 3 copies (2 originals and 1 duplicate)
- △ 7. Letter of Consent and Acceptance (using designated form): 2 copies (1 original and 1 duplicate)
  - 8. Checklist for Web-based Publication of Doctorate Thesis (using designated form): 2 copies (1 original and 1 duplicate)
  - 9. Letter of Authorization (using designated form): 2 copies (1 original and 1 duplicate)
- △ 10. Application for Publication of Abridged Thesis (using designated form): 2 copies (1 original and 1 duplicate)
- △ Document explaining in detail the "unavoidable circumstances": 2 copies (to be attached to the Application for Publication of Abridged Thesis)
  - \*  $\triangle$  : Documents marked with a triangle indicate those needed to be submitted in certain cases. Use black-and-white copies for duplicates.

### Use A4 sheets of paper.

- \*1 All electronic data for the thesis should be stored on a single CD, USB memory stick, or other medium when they are submitted. Each file should be no larger than 40MB, and if the thesis data exceeds 40 MB, it should be saved as two or more files, with file names bearing serial numbers.
- \*2 The file for the Thesis Summary should be titled "『論文の内容の要旨』(Thesis Summary) student ID number applicant's name," and be submitted by the University of Tokyo's file transfer services.(refer P.6)

#### (2) Format

Download "designated forms" via the URL for file transfer services.

## (3) Period of submission for degree theses

Students who entered or advanced to the Doctor's Program on April 1, 2013 or earlier and plan to complete the program on March 24, 2016 are eligible to submit a degree thesis.

The submission of degree theses will be accepted between 9:30 and 11:30 a.m. and between 1:30 and 4:30 p.m. on December 15 (Tuesday), 16 (Wednesday), and 17 (Thursday). \*The deadline must be strictly met.

(Note) Be punctual when submitting your degree thesis. <u>The submission of degree theses will not be accepted at</u> all after the deadline has passed.

Those who cannot submit their theses during the period of submission stated above for unavoidable reasons should contact the Graduate School Office in advance (They will be required to submit their thesis before the period listed above with the permission of the administration office.

### (4) Method of submission

(1) Bring your own thesis and application documents directly to Room 205 on the second floor of the Faculty of Science Building 1 in person for submission.

After the submission is completed, a large envelope containing the thesis and the documents should <u>be</u> brought in person by the doctorate degree applicant to the Department Office, the screening committee chair, or the academic advisor of the applicant (to be informed on the day of submission because the rule varies by department).

Department of Physics: After submission, please come to the Student Affairs Office. Butsuri-kyomu (room 208, 2nd floor, Faculty of Science Bldgl.)

# 3. Documents to be submitted after the thesis screening and their deadlines for submission

- Submit the documents listed below to the screening committee chair by the date designated by the screening committee chair (not necessary if there is no change)
  - (1) Thesis Table of Contents (using designated form): 1 copy
    If the content of the thesis has changed since submission, update this document so that it matches the thesis as it stands after the thesis screening. Write the date when the final Thesis Table of Contents was-created below the date of thesis submission.
  - (2) Letter of Consent and Acceptance (using designated form): 1 copy
    If there is any change to your co-authors in the updated Thesis Table of Contents, submit the newly listed co-authors' (joint researchers') Letters of Consent and Acceptance additionally to the screening committee chair
- Submit the documents listed below to the Graduate School Office at Academic Affairs on the first floor
  of the Faculty of Science Building 1.

These documents must be submitted by 4:00 p.m. on February 26 (Friday), 2016. Send the final edition of the thesis in PDF format (full text and, if applicable, its abridged version) via the file transfer service and submit the documents bound in book form to the person in charge of Graduate Schools.

(1) Thesis

In full binding: 1 copy
Electronic data (PDF): 1 copy
in PDE format (only for those to whom this applies)

(2) Abridged Thesis in PDF format (only for those to whom this applies)

Electronic data: 1 copy

(3) Thesis Summary (if there is any change to the Summary)

Printout: 3 copies Electronic data: 1 copy

# <Full binding of a thesis>

All degree theses submitted will be stored in the library of the department of the doctorate degree. Print and bind your thesis for submission as follows:

Format for printing and binding

A4 size

- Bind your thesis in such a way as to withstand storage for a long period of time.
- Full binding should be in hard cover.

## <Creation of the thesis in PDF format>

Prepare the final edition of your thesis for submission referring to "Guide for Creating PDF Files to Register with UTokyo Repository." All applicants must submit the final edition of their thesis even if they do not want their thesis to be opened to the public for reasons such as patent applications. If applicants cannot publish the full text of their degree thesis on the Internet, "a version of the thesis for partial publication," which does not open to the public any portion of the thesis which might cause a problem if published, will be published via the institutional repository. In this case, the full text in PDF format will be made available for perusal only at the National Diet Library (expect for the reason of patent applications). For samples of versions of a thesis for partial publication, see the last page of this document.